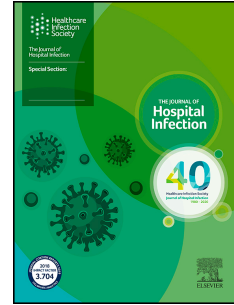


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SARS-CoV-2 surface and air contamination in an acute healthcare setting during the first and second pandemic waves

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1 **Title: SARS-CoV-2 surface and air contamination in an acute healthcare setting during the first**
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3

4 **Running Title: SARS-CoV-2 air and surface contamination**

5

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23

24

25 **Summary**

26

27 **Background:** Surfaces and air in healthcare facilities can be contaminated with SARS-CoV-2. In a
28 previous study, we identified SARS-CoV-2 RNA on surfaces and air in our hospital during the 'first
29 wave' of the COVID-19 pandemic (April 2020).

30 **Aim:** To explore whether the profile of SARS-CoV-2 surface and air contamination had changed
31 between April 2020 and January 2021.

32 **Methods:** A prospective, cross-sectional, observational study in a multisite London hospital. In
33 January 2021, surface and air samples were collected from comparable areas to those sampled in
34 April 2020 comprising six clinical areas and a public area. SARS-CoV-2 was detected using RT-PCR
35 and viral culture. Sampling was additionally undertaken in two wards with only natural ventilation. The
36 ability of the prevalent variants at the time of the study to survive on dry surfaces was evaluated.

37 **Findings:** No viable virus was recovered from surfaces or air. 5% (14) of 270 surfaces and 4% (1) of
38 27 air samples were positive for SARS-CoV-2, which was significantly lower than in April 2020 (52%
39 (114) of 218 of surfaces and 48% (13) of 27 air samples ($p < 0.001$, Fisher's Exact Test)). There was
40 no clear difference in the proportion of surfaces and air samples positive for SARS-CoV-2 RNA based
41 on the type of ventilation in the ward. All variants tested survived on dry surfaces for at least 72 hours
42 with a $< 3\text{-log}_{10}$ reduction in viable count.

43 **Conclusion:** Our study suggests that enhanced infection prevention measures have reduced the
44 burden of SARS-CoV-2 RNA on surfaces and air in healthcare.

45

46 **Key words:** SARS-CoV-2, COVID-19, variants of concern, transmission, air contamination, surface
47 contamination, infection prevention and control

48

49

50 Introduction:

51

52 The COVID-19 pandemic continues with epidemic waves affecting various parts of the world [1].

53 Several epidemic waves have occurred in the UK resulting in a peak of hospitalisations in April 2020

54 and a second, larger peak of hospitalisations in January/February 2021 [2, 3]. The second wave of

55 hospitalisations in early 2021 was associated with increased community prevalence of COVID-19

56 infection and a second wave of COVID-19 in healthcare workers [4].

57

58 Respiratory viruses like influenza, SARS-CoV-1, SARS-CoV-2 and others are able to transmit via the

59 air and via contact under some circumstances [5, 6]. There is considerable controversy around the

60 relative importance of different transmission routes involving air as a vector, with some arguing that

61 transmission over short and long range via small aerosolised particles is the predominant

62 transmission route [7, 8]. The virus has been shown to survive on surfaces and in air for days to

63 weeks [9, 10]. SARS-CoV-2 RNA has been identified in hospital air, and viable SARS-CoV-2 has

64 been cultured from a small number of samples in these studies [11-13]. SARS-CoV-2 RNA has also

65 been identified on surfaces in hospitals, although viable SARS-CoV-2 that can be cultured has not

66 been identified [12, 14]. The role of contaminated surfaces and air in the spread of SARS-CoV-2

67 within healthcare environments is unclear [14].

68

69 An important feature of the epidemiology of SARS-CoV-2 is the emergence and international spread

70 of several different variants, which vary in their transmissibility, virulence, and vaccine response [15].

71 During the second wave of hospitalisations, the Alpha variant (B.1.1.7) emerged as the predominant

72 cause of COVID-19 in the UK [15]. This variant has been found to be more transmissible than other

73 SARS-CoV-2 variants circulating at the time [15, 16]. The reasons for increased transmissibility of the

74 Alpha and other variants are unclear, but do not appear to be as a result of fundamental differences in

75 transmission routes [15]. The Alpha variant also has a characteristic "S gene" knockout mutation,

76 which has proven to be a useful way to rapidly identify it presumptively from other types of SARS-

77 CoV-2 [15].

78

79 During the 'first wave' of COVID-19, environmental sampling of air and surfaces at our London
80 hospital group was undertaken in seven clinical areas and a public entrance [12]. This work identified
81 extensive SARS-CoV-2 RNA contamination of surfaces and air in patient-care and non-patient-care
82 areas, but that viable virus could not be cultured from any samples. In order to re-evaluate surface
83 and air contamination in our hospitals during the second wave, and in the context of the emergence of
84 SARS-CoV-2 variants, we used the same sampling methods to test for SARS-CoV-2 surface and air
85 contamination in comparable areas to those sampled during the 'first wave'. We also aimed to
86 understand patterns of surface and air contamination with SARS-CoV-2 variants so we inferred the
87 genotype of SARS-CoV-2 in patients on the day of sampling, and in SARS-CoV-2 detected from
88 surface and air samples. Renal dialysis represents a particular and complex risk and challenge at the
89 interface of community and healthcare in the context of COVID-19 [17]. Therefore, we performed
90 additional sampling in a renal dialysis setting. Given the role of ventilation in preventing the spread of
91 COVID-19 [18], air and surfaces were sampled for SARS-CoV-2 in wards with a range of ventilation
92 approaches, including some with only natural ventilation. Finally, given limited data on the capacity for
93 SARS-CoV-2 variants to survive on surfaces, we performed a laboratory experiment to evaluate the
94 ability of the Alpha variants to survive on dry surfaces compared with other variants.

95

96 **Methods:**

97

98 *Selecting clinical areas to sample*

99

100 To provide a comparison of surface and air contamination in the second wave compared with the first
101 wave, surface and air samples were collected from seven comparable areas to those sampled in the
102 first wave [12], which represent a range of clinical services provided by the hospital group. These
103 comprised:

- 104 • Adult emergency department, which included sections dedicated for suspected and confirmed
105 COVID-19 patients and for patients not suspected to have COVID-19.
- 106 • A COVID-19 cohorting adult acute admissions unit.
- 107 • A COVID-19 cohorting adult intensive care unit.

- 108 • Two adult COVID-19 cohort wards: one with physically separated 4-bedded bay areas, and
109 one with large open bay areas.
- 110 • An adult ward area used for the management of non-invasive ventilation / continuous positive
111 airway pressure, procedures that (at the time) are thought to be a high risk of generating
112 infectious SARS-CoV-2 aerosol.
- 113 • The entrance and public area of the main hospital building.

114

115 Each of these clinical areas had either mechanical ventilation, recirculated air, or natural ventilation
116 and mechanical ventilation (Table 1). In addition, two wards cohorting patients with COVID-19 with
117 only natural ventilation were sampled to explore the possible role of different ventilation systems in
118 determining surface and air contamination. Sampling was also undertaken in a renal dialysis unit at
119 one of our hospitals.

120

121 *Sample collection*

122

123 Surface samples were taken from high touch areas, including bed rails, ward telephones, computers,
124 clinical equipment (syringe pumps, blood pressure monitors), and hand hygiene facilities (hand
125 washing basins, alcohol gel dispensers); air samples were collected in parallel. Samples were
126 collected from the lowest to highest perceived risk of SARS-CoV-2 contamination. Samples were
127 collected between 6-18th January 2021.

128

129 *Sampling methods*

130

131 Air sampling was performed using a 'Coriolis Micro' air sampler (referred to as Coriolis hereafter)
132 (Bertin Technologies), which collects air at 300 litres per minute (LPM). After 10 min sampling at 300
133 LPM, a total of 3.0 m³ air was sampled into a conical vial containing 5 mL Dulbeccos's minimal
134 essential medium (DMEM). Surface samples were collected by swabbing approximately 25 cm² areas
135 of each item using flocked swabs (Copan, US) moistened in DMEM. Swabs were deposited into 1 mL
136 of DMEM.

137

138 *Detection and quantification of SARS-CoV-2*

139

140 Viral RNA detection and absolute quantification was performed using quantitative real-time reverse
141 transcription polymerase chain reaction (RT-qPCR). Samples were extracted from 200 µL of the
142 DMEM medium using the QIAasymphony SP (Qiagen, Germany) instrument according to the
143 manufacturer's instructions. SARS-CoV-2 viral RNA was detected using AgPath-ID One-Step RT-
144 PCR Reagents (Life Technologies) with specific primers and probes targeting the envelope (E) [19]
145 and ORF1a genes [20]. A standard curve with six serial dilutions of 1×10^5 – 1×10^0 copies/µL E gene
146 was included in each run of the RT-qPCR. The number of SARS-CoV-2 virus E gene copies per m³
147 air and copies per swab were calculated. Samples were considered positive for SARS-CoV-2 RNA if
148 E or ORF1a RT-qPCR assays gave Ct value less than 45. Human biological material in air samples
149 was quantified by RT-PCR assays targeting human ribonuclease P (RNaseP) and 18S ribosomal
150 RNA (18s rRNA) [20].

151

152 *Genotyping SARS-CoV-2 from air and surface samples*

153

154 The proportion of air and surface samples with mutations consistent with SARS-CoV-2 variants of
155 concern (VOCs) were determined by PCR. The primers (Forward 5'-
156 ACTTTCCTTTACAATCATATGGT-3' and Reverse: 5'- ACTACTCTGTATGGTTGGTAACC-3') and
157 probes (5'-FAM-TTTCCAACCCACTAAT-MGB-3' and 5'-VIC- TTTCCAACCCACTTAT-MGB-3') were
158 used for the assay to differentiate Asparagine or Tyrosine at residue 501 of spike protein. The primers
159 (Forward: 5'- ACCTTTCCTTTTCCAATGTTACTT-3' and Reverse 5'-
160 TTAAATGGTAGGACAGGGTTATCAAA-3') and probes (5'-FAM- TTGGTTCCATGCTATCTC-MGB-3'
161 and 5'-VIC- GTTCCATGCTATAACATGT-MGB-3') were used to differentiate between the 69/70
162 deletion and wildtype spike protein.

163

164 *Virus culture*

165

166 Only samples with a Ct value of <30 would be cultured, because previous work showed that surface
167 and air samples with a Ct value of >30 will not be culturable [12]. Vero E6 (African Green monkey

168 kidney) cells were used to culture virus from air and environmental samples. The cells were
169 maintained in DMEM supplemented with heat inactivated fetal bovine serum (10%) and
170 Penicillin/Streptomycin (10, 000 IU/mL & 10, 000 µg/mL). For virus isolation, 200 µL of samples were
171 added to 24 well plates. On day 0 and after 5-7 days, cell supernatants were collected, and RT-qPCR
172 to detect SARS-CoV-2 performed as described above. Samples with at least one log increase in copy
173 numbers for the E gene (reduced Ct values relative to the original samples) after 5-7 days
174 propagation in cells compared with the starting value were considered positive by viral culture [21].

175

176 *SARS-CoV-2 laboratory surface stability assay*

177

178 We performed a laboratory experiment to examine the stability and infectivity of SARS-CoV-2 dried on
179 plastic surfaces. Three SARS-CoV-2 representative variants: Alpha (GISAID: EPI_ISL_693401), Beta
180 (GISAID: EPI_ISL_770441), and Wildtype_D614G (GISAID: EPI_ISL_660788) were diluted to 1×10^5
181 PFU/mL. Five 2 µL droplets of virus culture were pipetted on a plastic surface (cell plates). The
182 inoculated surfaces were dried in a safety cabinet for one hour after which they were visibly dry. The
183 inoculated surfaces were soaked with 1 mL of virus transport medium for 30 minutes to elute the virus
184 at three time points: 1, 24 and 72 hours. The samples were titred by the plaque assay as described
185 previously [22].

186

187 *Prevalence of variants in patients on the day of sampling*

188

189 S gene target failure was being used routinely as a proxy to indicate infection caused by the Alpha
190 (B.1.1.7) variant. We used ward admission and discharge dates in electronic patient records to
191 determine which patients were in the clinical area on the day of sampling. A patient considered to
192 have COVID-19 is one who had at least one positive SARS-CoV-2 PCR test within 14 days before the
193 sampling day.

194

195 *Ethics*

196

197 In 2020, Imperial NIHR Biomedical Research Centre (BRC) developed the secure Clinical Analytics,
198 Research and Evaluation (iCARE) high-performance analytics environment, which hosts secondary
199 care data from Imperial College Healthcare NHS Trust (ICHT), and COVID-19 test results from North
200 West London Pathology. The iCARE system provides linked health records from ICNT and NWL
201 pathology, which have been de-identified and made available for approved research. This study was
202 approved by the Imperial Academic Health Science Centre (AHSC) COVID Research Committee, the
203 COVID-19 NWL Data Prioritisation Group, and the Discover Research Advisory Group (DRAG), which
204 jointly provide a governance mechanism.

205

206 **Results**

207 No viable virus was recovered from any of the surface or air samples (Table 2). In the clinical areas
208 that were selected for sampling in January 2021 as being comparable to those sampled in April 2020,
209 the overall percentage of air and surface samples from which SARS-CoV-2 RNA was detected by
210 PCR was significantly lower in January 2021 vs. April 2020 (Figure 1, Table 2). The overall
211 percentage of surfaces contaminated with detectable SARS-CoV-2 RNA in April 2020 was 52% (114)
212 of 218 surfaces compared with 5% (14) of 270 surfaces in January 2021 ($p < 0.001$, Fisher's Exact
213 Test). The overall percentage of air samples contaminated with detectable SARS-CoV-2 RNA in April
214 2020 was 48% (13) of 27 air samples compared with 4% (1) of 27 air samples in January 2021
215 ($p < 0.001$, Fisher's Exact Test). SARS-CoV-2 RNA was detected in patient care areas and in nursing
216 stations and staff rooms in April 2020, whereas SARS-CoV-2 RNA was only detected in areas
217 occupied by patients or patient bathrooms in January 2021 (except for the lift buttons in the lift lobby
218 of the main hospital building) (Figure 2, Table 2). At least one positive air sample was identified from
219 every ward / area sampled in April 2020. In January 2021, the one positive air sample was detected in
220 a bay dedicated to patients undergoing aerosol generating procedures.

221 There was no clear difference in the proportion of surfaces and air samples positive for SARS-CoV-2
222 RNA based on the type of ventilation in the ward. SARS-CoV-2 RNA was identified by PCR from 6%
223 (5) of 80 surfaces and 12% (1) of 8 air samples from the two wards selected because they were
224 naturally ventilated. The proportion of surface and air samples from naturally ventilated wards was not
225 significantly different when compared to areas with mechanical ventilation ($p > 0.05$ for both). There

226 was also no clear difference in the proportion of surface and air samples positive for SARS-CoV-2 in
227 the renal dialysis unit: 2% of 40 surfaces samples and none of the four air samples.

228 51% of 180 of patients in the areas that were sampled had S gene knockouts consistent with the
229 Alpha variant. 13/21 (62%) surface and air samples that detected SARS-CoV-2 RNA could be
230 genotyped by PCR; 8 (38%) were Alpha variants.

231 In the laboratory stability assay, all three variants tested survived for at least 72 hours with a $<3\text{-log}_{10}$
232 reduction in viable count (Figure 3).

233 **Discussion:**

234

235 We undertook this study to compare SARS-CoV-2 surface and air contamination in the second wave
236 of COVID-19 infection in acute care hospitals in London, UK compared with the first wave. Whilst
237 SARS-CoV-2 RNA was detected in clinical and non-patient-care areas, no viable virus was recovered.
238 Despite similar levels of bed occupancy by patients with COVID-19, the levels of air and surface RNA
239 contamination in a range of clinical areas chosen to be comparable to the areas sampled in the first
240 wave was significantly lower in the second compared with the first wave. There was no obvious
241 correlation between the type of ventilation in the area and the level of surface and air contamination
242 with SARS-CoV-2 RNA. SARS-CoV-2 RNA surface and air contamination was not notably different in
243 a renal dialysis unit compared with the general ward setting. Approximately half of SARS-CoV-2 from
244 patients in the clinical areas at the time of sampling and of SARS-CoV-2 RNA identified in surface and
245 air samples was the Alpha variant. A laboratory study showed that the Alpha variant did not have
246 notably different environmental survival properties compared with other variants.

247

248 The proportion of surface and air samples from which SARS-CoV-2 was detected was considerably
249 lower in January 2021 during the second wave in the UK compared with April 2021 during the first
250 wave. There may be several factors driving this difference, including enhanced prevention measures
251 (summarised in Table 3) implemented between the COVID-19 waves, the emergence of new variants,
252 changes in patient mix, the introduction of patient and staff vaccination, and changes in the use of
253 clinical areas. It seems most likely that changes in prevention measures implemented between the
254 two waves had the greatest impact on the levels of surface and air contamination that we measured.

255 Other studies have investigated surface and air contamination with SARS-CoV-2 [11-14, 23, 24].
256 Consistent with our findings, whilst most studies have identified at least some SARS-CoV-2 RNA on
257 surfaces and air in patient care areas, few have been able to culture viable virus [13, 23, 24]. It is not
258 clear why no viable virus was cultured from surfaces or air in our study. This could have been due to
259 low viral load, methodological issues (such as choice of surface and air sampling technique, viral
260 transport medium, or laboratory culture methods), or a combination of these factors. One study from
261 the US found, as we did, a reduction in the proportion of surfaces from which SARS-CoV-2 RNA was
262 detected from 11% to 2%, which they attributed to improved environmental and patient management
263 practices [25]. Genotyping of the environmental samples found strong evidence that they originated
264 from patients on the ward at the time of sampling.

265

266 Our laboratory study suggested that the three variants tested could survive for more than 72 hours
267 when dried onto a plastic surface with a $<3\text{-log}_{10}$ reduction. This rate of decay was not different to the
268 other two variants that we tested, suggesting that differences in environmental persistence are not a
269 factor driving the increased transmissibility of the Alpha variant [15]. Our findings on the
270 environmental stability of these viruses is in line with the findings of others [9, 10, 26]. For example,
271 one study evaluated the capacity of a range of SARS-CoV-2 variants, including the Alpha variant, to
272 survive on stainless steel surfaces [26]. In this study, there was no clear difference in the capacity of
273 the variants tested to survive on the steel surface, and all survived more than 72 hours with an
274 approximate 3-log_{10} reduction.

275

276 SARS-CoV-2 is able to transmit more efficiently in indoor spaces with inadequate ventilation [6-8, 18].
277 Therefore, we evaluated whether differences in ward ventilation impacted the level of surface and in
278 particular air contamination. We did not identify any differences in contamination level based on ward
279 ventilation system. However, it's important to note that natural ventilation can provide efficient air
280 changes if optimally designed [18], and we did not measure the effectiveness of the ventilation system
281 as part of this study. There has been much debate about the role particle size in transmission of
282 SARS-CoV-2 via the air [8]. Since we did not measure particle size in this study, we cannot add to this
283 debate.

284

285 Patients who are dialysis dependent are particularly at risk of COVID-19 [17, 27]. They require regular
286 visits to dialysis units with consequent contact with other dialysis dependent patients, live outside of
287 the hospital, and require regular travel to dialysis units. The first wave of COVID-19 resulted in
288 outbreaks in patients undergoing renal dialysis, with poor clinical outcomes [17, 28]. Our finding
289 suggest that a high burden of surface and air contamination was not a feature of the epidemiology of
290 COVID-19 in renal dialysis units.

291

292 Strengths of our study include the selection of a range of clinical and non-clinical areas to represent a
293 breadth of clinical services provided by our hospitals, including a renal dialysis unit. The selection of
294 clinical areas allowed us to compare contamination levels between the first and second waves. We
295 sampled some wards with only natural ventilation to provide information on whether ward-level
296 ventilation system affects contamination levels. The sampling methods used included both PCR and
297 an attempt to culture live virus from environmental specimens. We made use of routinely collected
298 data on the inferred genotype of SARS-CoV-2 from patients and undertook PCR genotyping on
299 SARS-CoV-2 positive air and surface samples, which allowed us to comment on the proportion of the
300 Alpha variant in patient and environmental samples. We undertook a laboratory evaluation of the
301 survival properties of a range of SARS-CoV-2 variants, including the Alpha variant that was of
302 particular interest at the time of the study.

303

304 Limitations of the study include that each area was sampled only once; without longitudinal sampling,
305 our findings provide only a snapshot of contamination levels. We sampled exactly the same area
306 where possible, but in some cases changes in the use of clinical areas between April 2020 and
307 January 2021 meant that we had to choose comparable areas to sample. Whilst all clinical areas
308 sampled were fully occupied by patients with COVID-19, we did evaluate the role of viral load and
309 patient vaccination status on the shedding of SARS-CoV-2 into the environment. Samples were not
310 collected from patients, air, and surfaces contemporaneously, meaning that we cannot link
311 contamination levels to individual patients. The methods being used to provide regular reports on the
312 inferred prevalence of the Alpha variant in patients assumed that patients were physically in the ward;
313 this may not have been the case if patients were temporarily in different parts of the hospital, for
314 example, for a procedure. Whilst we sampled two wards with only natural ventilation, several of the

315 other wards included parts of the ward with only natural ventilation. Also, we did not measure airflows
316 or another measure of air quality (e.g. CO₂ levels or bacterial counts). Our study was undertaken
317 before the emergence of the Omicron variant.

318

319 Our findings underline the potential risk of surface and air contamination in managing COVID-19,
320 particularly during direct patient care. The findings suggest that COVID-19 prevention measures that
321 have been introduced have reduced the level of surface and air contamination. The findings also
322 suggest that enhanced ability to shed or survive on surface and / or in air are not the key driver for
323 increased transmissibility of variants that have emerged recently. Based on these results, no changes
324 in current practice are recommended. However, a continued focus on infection prevention and control
325 activities is required to prevent the in-hospital transmission of COVID-19 [29].

326

327 Further work that would follow-on from our study includes longitudinal environmental sampling of
328 surfaces and air in clinical and non-clinical areas to understand how patterns of contamination change
329 over time. Further sampling should consider measurement of airflows to correlate airflows and
330 environmental hygiene measures with contamination levels, measurement of particle size, genotyping
331 of isolates, and linking environmental sampling to contemporaneous patient samples would allow to
332 evaluate patient level risk factors for the shedding of SARS-CoV-2 such as viral load, duration of
333 illness, and symptoms. Further work is required to understand the increased transmissibility of SARS-
334 CoV-2 variants, and evaluating the role of patient and staff vaccination in the shedding of SARS-CoV-
335 2 into the environment.

336

337 Our study reinforces that SARS-CoV-2 RNA can contaminate surfaces and air in healthcare settings,
338 and suggests that enhanced infection prevention measures have reduced the burden of SARS-CoV-2
339 RNA on surfaces and air in healthcare. We did not find evidence that enhanced environmental
340 survival properties are linked to the Alpha variant that was prevalent at the time of the study.

341

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344

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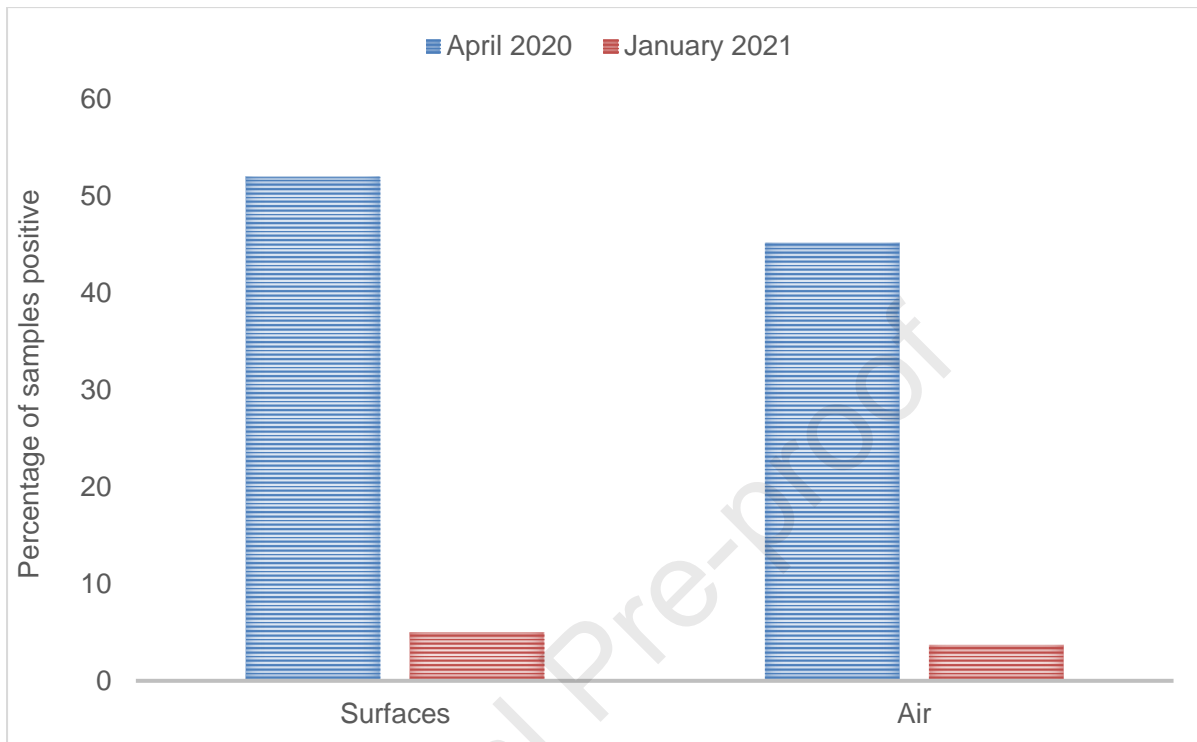
349

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355

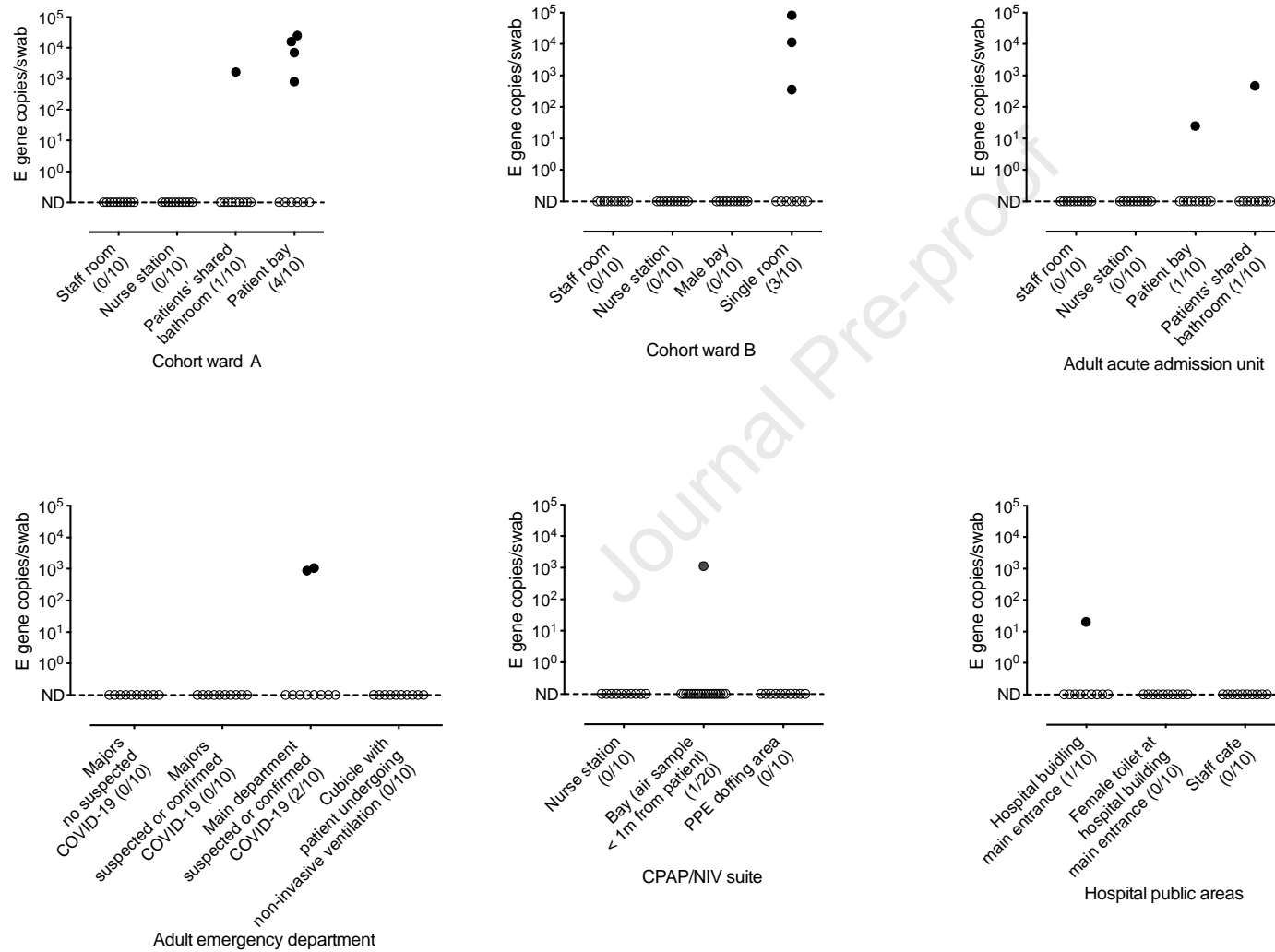
356 **Potential conflicts of interest:** JAO is a consultant to Gama Health Ltd and has given paid talks for
357 ASP, Diversey, Ecolab, and Knowlex. All other authors declare no potential conflicts of interest related
358 to this study.

359 **Figure 1:** Overall percentage of surface and air samples positive for SARS-CoV-2 RNA in April 2020
360 vs. January 2021. 218 surfaces samples were collected in April 2020 and 270 in January 2021; 27 air
361 samples were collected in both April 2020 and January 2021.



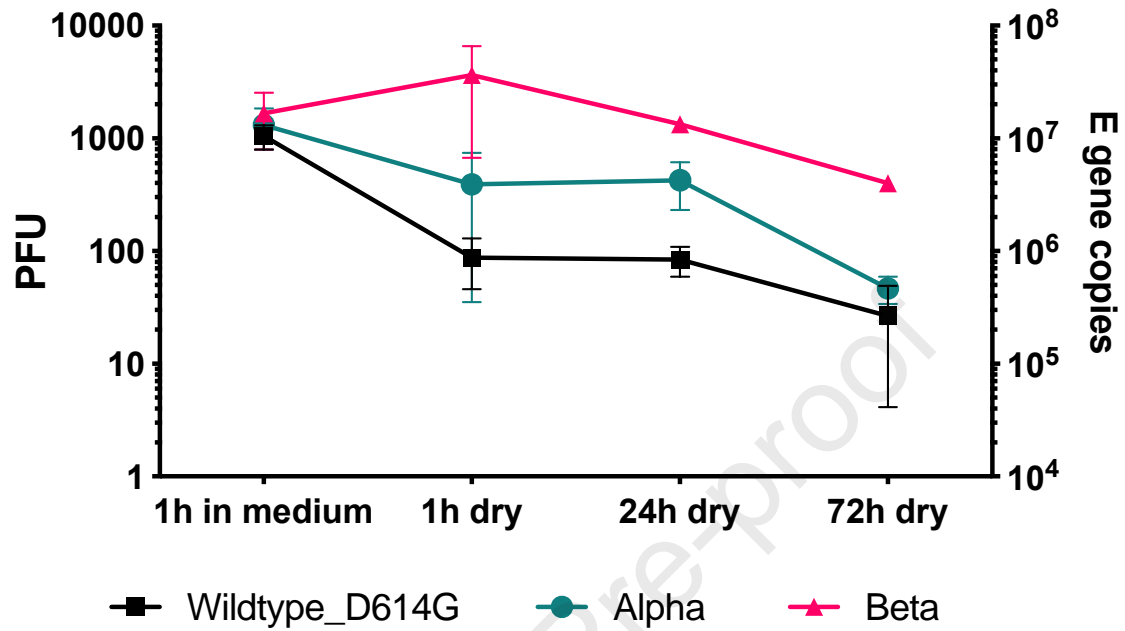
362

363 **Figure 2:** SARS-CoV-19 E gene copy number from surface swabs. The quantity of E gene copy number per swab is shown. Positive swabs and negative
 364 swabs are indicated by solid dots and open dots respectively. All samples from the adult ICU were negative, so are not shown.



366 **Figure 3:** Survival of SARS-CoV-2 variants dried onto plastic surfaces. Mean and standard deviation
367 of PFU (plaque forming units) and E gene copies are shown.

368



369

370 **Table 1:** Summary of areas sampled

| Ward type | Ward details | Patient group | Ventilation type |
|---|-----------------------|---|-------------------------------|
| Cohort ward A (patient bays and single rooms) | April 2020 (ward 1) | COVID-19 cohort ward | Mechanical supply and extract |
| | January 2021 (ward 2) | COVID-19 cohort ward | Mechanical supply and extract |
| Cohort ward B (“nightingale” design) | April 2020 (ward 3) | COVID-19 cohort ward | Recirculating |
| | January 2021 (ward 4) | COVID-19 cohort ward | Recirculating |
| Adult acute admission unit | - | Mixed cohort of patients with COVID-19 and other patients | Mechanical supply and extract |
| Adult emergency department | - | Mixed cohort of patients with COVID-19 and other patients | Mechanical supply and extract |
| Hospital public areas | - | - | Mechanical supply and extract |
| CPAP/NIV suite | April 2020 (ward 5) | CPAP/NIV for patients with COVID-19 | Mechanical supply and extract |
| | January 2021 (ward 2) | CPAP/NIV for patients with COVID-19 | Mechanical supply and extract |
| Adult ICU | - | Mixed cohort of patients with COVID-19 and other patients | Mechanical supply and extract |
| Inpatient dialysis unit | - | Mixed cohort of patients with COVID-19 and other patients | Mechanical supply and extract |
| Cohort wards with natural ventilation | Ward 6 | COVID-19 cohort ward | Natural ventilation |
| | Ward 7 | COVID-19 cohort ward | Natural ventilation |

371

372 **Table 2.** PCR results from surface and air samples.

| | Apr-20 | Surfaces sampled | Surfaces positive | % positive | Air positive | Jan-21 | Surfaces sampled | Surfaces positive | % positive | Air positive |
|---|--|---------------------|----------------------|---------------|-----------------|--------------------------------|---------------------|----------------------|---------------|-----------------|
| Cohort ward A (Ward 1 April 2020; Ward 2 January 2021) | Staff room | 6 | 2 | 33.3 | Negative | Doctors' office | 10 | 0 | 0.0 | Negative |
| | Nurse station | 6 | 4 | 66.7 | Negative | Nurse station | 10 | 0 | 0.0 | Negative |
| | Patients' shared bathroom | 6 | 2 | 33.3 | Negative | Patients' shared bathroom | 10 | 1 | 10.0 | Negative |
| | Patient bay | 6 | 5 | 83.3 | Positive | Patient bay | 10 | 4 | 40.0 | Negative |
| Cohort ward B (Ward 3 April 2020; Ward 4 January 2021) | Staff room | 4 | 0 | 0.0 | Negative | Staff room | 10 | 0 | 0.0 | Negative |
| | Patients' toilet (in the ward) | 7 | 1 | 14.3 | Positive | Nursing station | 10 | 0 | 0.0 | Negative |
| | Male bay | 12 | 5 | 41.7 | Positive | Male bay | 10 | 0 | 0.0 | Negative |
| | Single room | 8 | 7 | 87.5 | Positive | Single room | 10 | 3 | 30.0 | Negative |
| Adult acute admission unit | Ward managers office | 5 | 3 | 60.0 | Negative | Staff room | 10 | 0 | 0.0 | Negative |
| | Nurse station | 7 | 5 | 71.4 | Positive | Nurse station | 10 | 0 | 0.0 | Negative |
| | Patient bay 2 | 8 | 2 | 25.0 | Negative | Patient bay | 10 | 1 | 10.0 | Negative |
| | Patient bay 1 | 10 | 8 | 80.0 | Negative | Patients' shared bathroom | 10 | 1 | 10.0 | Negative |
| Adult emergency department | 'Green' majors (no suspected COVID-19) | 10 | 6 | 60.0 | Negative | Majors - no suspected COVID-19 | 10 | 0 | 0.0 | Negative |

| | | | | | | | | | | |
|---|--|----|----|-------|----------|--|----|---|------|----------|
| | Nurse station | 4 | 2 | 50.0 | Negative | Majors - suspected or confirmed COVID-19 | 10 | 0 | 0.0 | Negative |
| | Ambulatory waiting | 3 | 3 | 100.0 | Negative | Main department - suspected or confirmed COVID-19 | 10 | 2 | 20.0 | Negative |
| | Patient assessment cubicles | 3 | 1 | 33.3 | | | | | | |
| | Male toilet (next to the nurse station) | 2 | 1 | 50.0 | | | | | | |
| | Resus bay (last patient > 2 hours) | 10 | 4 | 40.0 | Positive | Cubicle with patient undergoing non-invasive ventilation | 10 | 0 | 0.0 | Negative |
| Hospital public areas | Hospital building main entrance | 7 | 5 | 71.4 | Positive | Hospital building main entrance | 10 | 1 | 10.0 | Negative |
| | Male toilet at hospital building main entrance | 7 | 4 | 57.1 | Positive | Female toilet at hospital building main entrance | 10 | 0 | 0.0 | Negative |
| | Lift area hospital building ground floor | 10 | 4 | 40.0 | Negative | Staff café | 10 | 0 | 0.0 | Negative |
| Continuous positive airway pressure (CPAP) / non-invasive ventilation (NIV) suite (Ward 5 April 2020; Ward 2 January 2021) | Nurse station | 5 | 3 | 60.0 | Positive | Nurse station | 10 | 0 | 0.0 | Negative |
| | Bay (air sample <1m from patient) | 19 | 14 | 73.7 | Positive | Bay (air sample <1m from patient) | 20 | 1 | 5.0 | Negative |
| | Bay (air sample >1m from patient) | | | | Negative | Bay (air sample >1m from patient) | | | | Positive |
| | PPE doffing area | 5 | 2 | 40.0 | Negative | PPE doffing area | 10 | 0 | 0.0 | Negative |
| Adult intensive care unit | Staff room | 10 | 6 | 60.0 | Positive | Staff room | 10 | 0 | 0.0 | Negative |
| | Nurse station inside intensive care unit | 6 | 1 | 16.7 | Negative | Nurse station inside intensive care | 10 | 0 | 0.0 | Negative |
| | Bay area | 11 | 5 | 45.5 | Positive | Bay area | 10 | 0 | 0.0 | Negative |

| | | | | | | | | | | |
|--|--------------|------------|------------|-------------|--------------------------|--------------|------------|-----------|------------|------------------------|
| | Single room | 8 | 6 | 75.0 | Positive | Single room | 10 | 0 | 0.0 | Negative |
| | Total | 218 | 114 | 55.6 | 13/27 (48.1%) | Total | 270 | 14 | 5.2 | 1/27 (3.7%) |

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Journal Pre-proof

375 **Table 3: Key changes in COVID-19 prevention measures implemented between April 2020 and**
 376 **January 2021**

| | April 2020 | January 2021 |
|-----------------------------------|---|--|
| Patients | Symptomatic testing of patients. | Asymptomatic testing of all elective and non-elective admissions, and serial SARS-CoV-2 testing of all inpatients in place so more rapid identification of infected patients. |
| | No recommendation for surgical masks for patients. | Surgical masks for all patients (where possible). |
| | Standard bed spacing. | Improved bed spacing. |
| | No requirement for active identification and management of COVID-19 outbreaks amongst patients. | Active identification and management of COVID-19 outbreaks amongst patients. |
| Staff | No recommendation for surgical masks outside of direct patient care. | Universal surgical masks for all staff in healthcare buildings including in all clinical areas. |
| | No specific measures for office spaces. | 'COVID-secure' measures in office spaces (including physical distancing). |
| | No routine staff testing. | Twice weekly lateral flow testing. |
| | Challenges with PPE use. | Improved compliance with recommended PPE (reductions in both excessive use and under use) and hand hygiene. |
| | No vaccination. | Initial implementation of a staff vaccination programme. |
| | Normal footfall on wards. | Reduced footfall on wards. |
| | No requirement for active identification and management of COVID-19 outbreaks amongst staff. | Active identification and management of COVID-19 outbreaks amongst staff. |
| Visitor/carer restrictions | Visiting permitted. | No ward visitors (outside of exceptional circumstances). |
| | No specific provision for enhanced hand hygiene at 2 hospital entrances. | Welcome stations introduced to promote hand hygiene and masks at the entrance to our hospitals. |
| Environmental hygiene | No specific increase in ward cleaning. | Cleaning frequency increased to meet national recommendations. This included one additional clean for each clinical area, plus a further additional touchpoint clean. In addition, a new touchpoint cleaning programme began in public spaces. |
| Ventilation | No specific improvements in ventilation. | Exterior windows opened where safe and possible. |

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